Arabic Origins of Cryptology (The discovery of Ancient Manuscripts)

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### Why Use Cryptology? We all use Cryptography every day!













Secure Communications







## **Historical Milestones in Cryptology** Encryption is as old as civilization



## A Prelude

#### <u>1353 AD</u>

مفتاح الكنوز في إيضاح المرموز

Ali ibn ad-Durayhim wrote the book "Miftah A-Kunuz fi Idah Al-Marmuz", (Key to Treasures on Clarifying Ciphers). The book is a major reference <u>on Cryptology at the time</u>.\*

#### <u>1963 AD</u>

Clifford Bosworth, of the University of St, Andrews wrote an article in which he translated "The Section on Codes" in al -Qalqashandi's *Subh al-a 'shā*,", and added a commentary on Arabic cryptology. **\*\***.

#### <u>1967 AD</u>

David Kahn wrote "Cryptology was born among the Arabs. They were the first to discover and write down the methods of cryptanalysis." \*

#### <u>1412 AD</u>

Shihab al-Din al-Qalqashandi wrote on Cryptology in his encyclopedic manual for the secretaries "Subh Al-A'sha fi Sina'at Al-Insha". (The Dawn of the Blind in the Writing Industry). He included "a section on codes" mostly from the book by **ibn ad-Durayhim**.

#### <u>1967 AD</u>

David Kahn, a prominent historian of cryptology, read the article by Bosworth, and described it as: **"perhaps the most important single article on the history of cryptology".** \* Kahn felt sorry that ibn ad-Durayhim's book was lost at the time!

\*The Codebreakers , The Story of Secret Writing, David Kahn, 1967, The Macmillan Company

\*\*Journal of Semitic Studies, VIII (Spring, 1963), 17-33

# The Discovery of 15 Ancient Arabic Manuscripts on Cryptology

Some western scholars did not agree with Kahn's statement, especially when there was no trace of ibn ad-Durayhim's book!



➢ In 1979, Drs. M. Mrayati, Y. Alam and M. al-Tayyan, from the Arab Academy of Damascus, decided to verify the truth of Kahn's statement and look for ibn ad-Durayhim's lost book\*.

> Dr. Mrayati and his team **discovered** a treasure!! Not only they found **ibn ad-Durayhim's book**, but they also discovered more than 15 Arabic manuscripts on Cryptology written by Arab Scholars in the period  $2^{nd}$  to  $8^{th}$  Hijri centuries, i.e.  $9^{th}$  to  $15^{th}$  centuries AD.



\*An interesting account of their journey in Arabic can be found at: <u>http://www.alukah.net/library/0/843/</u>

# The Discovery of 15 Ancient Arabic Manuscripts on Cryptology

- In 1987 Dr. Mrayati and his team edited and analyzed 15 manuscripts; and published them in 900 pages as two volumes in Arabic.\*
- In 2002 the King Abdulaziz City for Science and Technology, KACST, sponsored the translation of these 15 manuscripts into English, and started to publish them in **nine volumes** in collaboration with the King Faisal Center for Research and Islamic Studies, KFCRI. (Volumes 1 6 already published)
- > The discovered manuscripts clearly:
- 1. Showed that the Arabs laid the formal foundations of Cryptology as a science,
- 2. Proved beyond any doubt Kahn's statement,
- 3. Corrected the history of Cryptology and Pushed back its origins by more than five centuries.

\* The two Arabic volumes can be downloaded, free of charge from KACST at: http://publications.kacst.edu.sa/SystemFiles/Books\_Pdf/300.pdf



# The Translated Manuscripts on Cryptology\*

### Manuscript

۱ ـ رسالة في استخراج المعمى ۲ ـ رسالة في حل التراجم

Volume

Ya'qub al-Kindi's Treatise "*Risalah fi Istikhraj al Mu'amma*", (Treatise on Decrypting Cryptographic Messages). The oldest extant manuscript on cryptanalysis written in the 9<sup>th</sup> century AD. The manuscript is about 1200 years old!

**Ali ibn Adlan** Treatise "*al Mu'allaf Lil Malik al Ahraf*". (A Manual for King al-Ashraf), a real manual of cryptanalysis written at the beginning of the 13<sup>th</sup> century AD.

٣- مفتاح الكنوز في إيضاح المرموز

**Taj ad-Din ibn** <u>ad-Durayhim's</u> Treatise *"Miftah A-Kunuz fi Idah Al-Marmuz"*, (Key to Treasures on Clarifying Ciphers). which covered the bulk of information known of this science at the mid of the 14<sup>th</sup> century AD.

٤ ـ مقاصد الفصول المترجمة عن حل الترجمة

**Ibrahim ibn Dunaynir** Treatise "*Maqasid al-fusul al-mutarjima an Hall at-tarjama*", (Expositive chapters on cryptanalysis). A large and elaborate treatise on cryptology. It was written at the beginning of the 13<sup>th</sup> century.

Arabic Origins of Cryptology – Volumes 1 – 6, M. Mrayati et al. KACST and KFCRI 2003 - These volumes can be downloaded from <u>http://publications.kacst.edu.sa/SystemFiles/Books\_Pdf/302.pdf</u>

# The Translated Manuscripts on Cryptology\*

#### Volume

### Manuscript



Ibn Tabataba, 322AH / 934 AD, wrote a treatise on Cryptanalysis *"Risalat Istikhraj al-Muamma min al-Shiir"*, (A Treatise on Cryptanalyzing Poetry)
 A Treatise on the Cryptanalysis of Poetry by the author of *"Adab al Shuaara*", (The Art of Poets), written 350 – 627 AD. *Author name unknown* Two manuscripts by Muhammad al-Gurhumi, on Poetry Cryptanalysis, and on Prose Encryption: *"Kitab al-Gurhumi"*, (The book of al-Gurhumi), and *"Risalat al-Gurhumi"*, (al-Gurhumi Treatise).

6- رسالتان في حل
التراجم البسيطة
والمعقدة
رسالة البرهان
في وجوه البيان
لابن وهب الكاتب

- ➤ "The two essays" on cryptanalysis, written 350 627 AD, author unknown.
- ibn Wahab Alkatib 10<sup>th</sup> century treatise "*al-Burhan fi Wujuh al-Bayan*", (Demonstration of Eloquence Aspects), on Encryption and Cryptanalysis.

\**Arabic Origins of Cryptology – Volumes 1 – 6, M. Mrayati et al. KACST and KFRIS 2003 – … These volumes can be downloaded from* <u>http://publications.kacst.edu.sa/SystemFiles/Books\_Pdf/302.pdf</u>

### The Translated Manuscripts on Cryptology (Three Volumes not Published Yet)

#### Volume

#### Manuscript

شوق المستهام شوق المستهام *Ma'rifat Rumuz al-Aqlam''*, (Seekers Joy in Learning about Other Languages written Symbols). <u>He</u> identified 93 alphabets and arm 1 -1 Hieroglyphics. He decoded about half of the Hieroglyphic alphabet, and noted that the symbols could represent sounds and meaning.

ANCIENT ALPHABETS HIEROGLYPHIC CHARACTERS EXPLAINED ACCOUNT OF THE EGYPTIAN PRIES

AHMAD BIN ABUBEKR BIN WAHSHIB

AND IN ENGLISH BY JOSEPH HAMMER. ECRETARY TO THE IMPERIAL LEGATION T CONSTANTINOPLE.

LONDON

RINTED BY W. BULMER AND CO. CLEVELAND BOW Y G. AND W. NICOL, BOOKSELLERS TO H MAJESTY, PALL-MALL,

This manuscript was discovered earlier by Joseph Hammer in 1806. He wrote: "Though according to the Arabic title it is supposed to contain only the explanation of unknown alphabets, it gives beside a key to the hieroglyphics"

See page iv of: Ancient Alphabets and Hieroglyphic Characters Explained. A Translation of the Arabic Book by Ahmad ibn Wahshih, Joseph Hammer. Bulmer and Company, London, 1806.

## The Translated Manuscripts on Cryptology (Three Volumes not Published Yet)

#### Volume

9-8 الحروف المتفرقة - درة الغواص وكنز الاختصاص في أسرار الخواص وبراء الاسقام في كشف أصول اللغات والأقلام Three manuscripts on cryptanalysis, the first written by unknown author, and the second written by ibn Maslamah in 216 AH / 850 AD, and the third titled "al *Huroof al Mutafarriqah*", (The Separated Letters), written by Abu al-Qassem al- Iraqi. Date unknown. Al-Iraqi <u>identified 70</u> alphabets and symbols

Manuscript

A manuscript by Thoban al-Misri titled *"Hall ar-rumuz wa bara' al-'asqam fi kashf 'usul al-lughat wa al-aqlam"*, (Solving Symbols and curing sicknesses in clearing the origins of the Languages of the pens). <u>He</u> identified 200 alphabets and symbols.

A section on Cryptography by Ali al-Jildaki titled "Durrat al ghawwass wa kanz al ikhtissass fi asrar al khawass", (The diver's Pearl and the special treasure on the secrets of the qualities).

# The Arab School on Cryptology

This Cryptology work was not an individual effort. The Arab scholars formed a **"School"** of Cryptology that thrived for centuries. The scholars learned from each other, built on others works, and added their own original contributions. They were very mobile, and travelled across today's Iraq, Syria, <u>Egypt</u> and reached Abyssinia





# The Beginning of the Arab Cryptology Works

- al-Kindi's Treatise, shows that the Arabs interest in their language led them to study aspects that aid in Cryptology like Linguistics, combinatorics and statistics of the Arabic alphabet and words.\* The linguist al-Farahidi, (100 170 AH / 718 786 AD), used principles of *permutations and combinations* to list all possible Arabic words with and without vowels in his Arabic dictionary Al-Ayn
- Arab contributions to Mathematics, Astronomy and other Sciences have been studied extensively. The Arabs <u>translated and enriched</u> these sciences.
- Cryptology as a science <u>was not translated into Arabic.</u> <u>It was completely developed</u> by the Arabs. It received the least attention from historians, possibly because Cryptology is one of the secret sciences about which writings are rare with very limited circulation.
- An important seed of the Arab Cryptology works was the translation of encrypted texts in "secret" sciences like Alchemy and Magic and dead languages and communicating via poetry

\* Lyle D. Broemeling (2011) An Account of Early Statistical Inference in Arab Cryptology, The American Statistician, 65:4, 255-257, DOI: 0.1198/tas.2011.10191

al-Kindi calculated the frequency of letters in Arabic using a text of 3667 letters, and then introduced the technique of code breaking that was later to be known as 'frequency analysis'. \*

**Arabic Letters Frequency by al-Kindi vs Recent Statistics** 



\*Arabic Origins of Cryptology – Volume One (al-Kindi's Treatise on Cryptanalysis), M. Mrayati et al. KACST and KFCRI 2003 \*Ibrahim A. Al-Kadi, (2010) ORIGINS OF CRYPTOLOGY: THE ARAB CONTRIBUTIONS, Cryptologia, 16:2, 97-126

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➤al-Kindi's tree diagram classification of cipher types as it appears in his manuscript. He classified cipher systems into categories as transposition, and substitution, seven centuries before G. B. Porta

مديديد الم والأح . 33 مد باحد الروس المار مرحلوسه والالملام معجر مع لأسما وتساهدا المودمة والمجا بعسم تسمياء ل ولاح مادولسط اهمال وهر وقيها م son the stand of an and a stand and a son المالية المعاكرا بالله المحالي المعالين بالمكالك وماي - المحمود التب بامالي ويد التعد فارد التجم مسجار مسليات حال السيسان و الماد الالم العدل بال العاد ام - The astrong Elhophese have indefended and and and the colorenter life TA PIK



> al-Kindi's tree diagram classification of cipher types redrawn and translated



Concept / Work	Arabic Works	European Works
Manuscript on Cryptanalysis	al-Kindi, (Died 260 AH /	L. B. Alberti (1404 -1472 AD).
	874 AD	
<b>Principles of Statistics</b>	al-Kindi, (Died 260 AH /	Pierre de Fermat 1607–1665 AD.
	874 AD	Blaise Pascal 1623 – 1662 AD
Permutations and	al-Farahidi, (100 – 170	Pierre de Fermat (1607–1665 AD).
Combinations.	AH / 718 - 786 AD)	Blaise Pascal 1623 – 1662 AD
Solving a mono-alphabetic	ibn Adlan, (Died 666AH /	G. Porta 1535–1615 AD.
cipher with no word division.	1268 AD)	
A table for encryption.	ibn ad-Durayhim, (Died	Blaise de Vigenere. (1523 –1596
	762 AH / 1359 AD)	AD)
A simple grille for encryption.	ibn ad-Durayhim, (Died	G. Cardano (1501 – 1576 AD)
	762 AH/ 1359 AD)	
<b>Decoding Hieroglyphics</b>	Ibn Wahshiyyah decoded	J. F. Champollion decoded all
	some. ( 291 AH / 914 AD)	(1790 – 1832 AD)
	some. ( 291 AH / 914 AD)	(1790 – 1832 AD)

➢ ibn Dunaynir used numbers to encrypt letters. He wrote in his book that "an example enciphered, (by numbers), for me by some Maghrebi in Dar as-Salaam"

 $\geq$  al-Gurhumi calculated the frequencies of bigrams and trigrams. He also mentioned that complex encryption techniques may lead to problems for the legitimate decryptor during wars, and that encryption errors may help the attacker

> al-Gurhumi noted that when the ciphertext is short it may be impossible to cryptanalyze.

 $\triangleright$  al-Gurhumi and the author of the two essays explained how to use more than one character to substitute for a high frequency letter, so that frequency analysis attacks are rendered useless.

 $\succ$  ibn Wahab explained using complex encryption by substitution and transposition at the same time.



## **Final Remarks for Further Investigation**

- Arab Cryptologists do not mention "unbreakable" ciphers\*! Why?
- > The Arabs did not expand on their work on Statistical and Combinatorial Analyses. Why?
- The Umayyads, who fled the Abbasid power in the East, formed their own Caliphate in Maghreb and Andalusia, and used Cryptology. \*\* Did they copy from the East or develop their own or both?
- Did the Arabs develop signatures? The Arabs of Maghreb and Andalusia used some form of numerical signature. \*\*
- Ibn Wahshiyyah's book was translated into English by J. Hammer in 1806. The translation was known to A. Kircher, and to Silvestre de Sacy, the professor of Jean Francois Champollion who decoded the Hieroglyphs in 1820. <u>ibn Wahshaiyyah's work certainly aided in decoding the Hieroglyphics\*\*\*.</u>

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\*Ibrahim A. Al-Kadi, (2010) ORIGINS OF CRYPTOLOGY: THE ARAB CONTRIBUTIONS, Cryptologia, 16:2, 97-126 \*\*Abdelmamlik Aziz & Mostafa Aziz, Cryptologia; Pages 47-57 / Published online: 22 Dec 2010 \*\*\* An article by Dr. Okaskah El Daly, at http://www.muslimheritage.com/article/deciphering-egyptian-hieroglyphs-muslim-heritage

### **Originality of the Arab Cryptology Works Photocopies of some pages**

11 الله والجداله والعالم وصلوا بدعا مدعر والدمع

مسرايد الحميم المحمد محمد المحمد المحمد المحمد المحمد المحمد المحمد المحمد المحمد المحرم المحمد ا paralle ar تصرموان وما ووفر علما مالم وريس وكار مامود الحسلة الاستول مارس الدالعضل الصارى و مراقعان فالود الارسيال المناموالمعمر عيهليلة اساله لمالاجع المرفة ومعتبك السخم الدويه ويسدد المعذارهم كالمعاد السعدل عداد البيلوسيطعان ولعمود الملا المعتر والصلح المرا السعاللع المرضوالما = الالمهم ( . > العاسمة الساحة والأرالات اسطوا معالك مريد . عجيله صابعا عرم صرع استع إرسامع الولر زبوعها. العلوم الرمام وتلطام ال مستعماء عليرا تسداسا وليكلد طله واحلرا الراح المباهر طرالو الع المارديان والاملا وصادات مروطالكا راسيا الرسالا المرتصا اورت العا "معسد الم إس السعوا والمعلو طرح المحصر علم والمعرف بأرقد المراس عليل الداد السيافيعا .. مرالليدالم سم مجكر فبالعلمي استصف علم الد الثالم معا. طارها بر عذاد الماجهاد ارم تعد الدورازكارعد العلامة مسيط مام وسرمز مع ما المدسما و الفيد (سالكم وحيد) والمهد له بعدسم ساره ساليوا ساليو مدرا الخرو والمعاداما ارتور سيدعد اهدينع اولما الاجرق والمال لرسطان "- " " استاه اما الاير الاسه واساما فراللعد والمالا لمدجه االدورين · الحروم الاسا. ".. ومد " ... ساكماع معم الوالر استعالات العسار في موالاً ٥ للحرب المنب والرب الالسار والدلسيل والعد والعد والالسار ول العالد. مدار به الواحد الروران م مرد علل راواره ما معرف م الدوالل الع " .... الخام " معد الم العلم الأول عال مع او إل المعالم عنه من العديم 

مصوّرة الصفحة الأولى من رسالة الكندي .

The first page of Al-Kindi's Manuscript

زيد فضول انر ذيند في خرا النراجم مدوالعوافى شذمال للها لمعط وحد جامال لرعك دوي ووصل الحروم ورد فهاواستها ترالدخل . على وسنطر كابتر حركانها فدونها كالعسار فسالته لل تفادوا باع وبخرى وحدوها ورش وتوجيه لذالعهم تجل واماالعور فيخش فباكها مذلكه مزى لاظ مذلك سناد والطار وتضمير الحروا حفاد الوار برمانال ب الروى الموللان لمرم المتدد والردو التسايل اجتيال وف مقله والذجال وداد وداد وآبوسعدد وكود الناسع الغيافة قوج فبالذي يوتع الغيال واحل الدخرل وفيزار وفالاستستخوط الرؤاس الوسل للواللا ي الغاادوا والوامعدو الروي لطلق ماالامار المطلوق التأيث المخ الخروج الغدادوا والعدارو كالمطلق شاللغ لجلها القاديرة ع حاالوك والتصديره باقلاال وكالمتد المريجركم الدوى الاتباع

صورة الورقة الأولى من كتاب ابن دنيدير

The first page of Ibn Dunaynir's Treatise

# **Originality of the Arab Cryptology Works Photocopies of some pages**





بسعات الرحت الرحايم المدسالذرابتداء بخلق القلم وصرف فاللوح فرقم وفسم واللغات الخذلفات بيناالاحم والعالم فلايذ فيعليد سرمكتم وخده عد كشف لنامن مكتون علمه وتوفيضا خريه من لنعم وشهد بالآ الآانة وحدج لاشركك تدفئها دة مغالها أأنجا فبرر اعتصم وثن ان مخداعين ورسولدالي العرب ولجم ونجبة المقرب حتى مح تصريف الاقلام بماحكم وختم وجدادولا فالغضائل ويرايز ختم وخدانا لاوضح النم ووبتو لنامت كلات الجكم وسأ السكية آلدوا محابدالذين كارمنهم فالمعدا يتعلم وسلاة والمتما نذك ونظم وبعدفان كنت صنفت كمايا فحوضع التراجم وحلماق ابضاح المبم فحط المترجم نماختصرته ومرت عليه برهتر منالدهم ولم يكن الآن عندر سنغدثه وسألنى من يحب امتال ولاسبيل الحردة فنظمت هذاالعدر الكافي مماعل ذهني من عذاالغن وضبوا بطه وحعلت هن الحاشية عله موضحة لتغله مؤذنذان شارات تعالى بغلص وسمت مغتاج الكوزغ إيعنا المرموز واساتعا لداب لاالاعا ندوالتوفيق وهو حبنا وتنمم الوكيل الدانة حل المترج واليضلح المعتى مناجل الفواندني لاستغني بندفي اوقات تدعو الضرورة البها ويذيغ بالج أتخرآ

مصورة الصفحة الأولى من رسالية ابن الدُّيَّهم .

The last two pages of ibn Adlan's Treatise

The first page of Ibn Ad-Durayhim's Treatise



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Cryptanalysis Example by the author of the two essays

### Four basic principles for cryptanalysis used by the Arab scholars Four basic principles for cryptanalysis, commonly used by the Arabs with surprising efficiency. They are the following principles:

1) Making use of the number of letters in a cryptogram to identify the language of the text.

2) Statistical Cryptanalysis: Making use of the frequency of letter occurrences in the text, and comparing it with the frequencies of the language in question.

3) Statistical Cryptanalysis: Making use of the frequency of the occurrence of bigrams and trigrams and other particularities, or what they called the "combination and non-combination of letters".

4) Probable Words: Making use of the traditional opening statements or honorary titles, to guess useful information about the cryptogram.

# What Made The Arab Advancement In Cryptology Possible? Advances in the following fields made the Arab development of Cryptology possible:

- **1. Translation:** The need to translate encrypted books; and scripts in dead languages.
- 2. Administrative Studies: The need of the emerging Islamic state for administrative organization and communicating over large distances.
- 3. Mathematical Studies: Major contributions in mathematics.
- **4. Linguistic Studies:** All aspects of linguistic studies were pre- requisites for the advancement of cryptography and cryptanalysis.
- 5. Paper technology
- 6. Widespread Literacy.



# Books that have not been found yet.

The analysis of the discovered manuscripts and other references brought to light other works by Arab scholars on Cryptology which have not been found yet. Some of those works include:

Scholar	Life Span	Works
Al-Khalil ibn Ahmad al- Farahidi	AH 100 - 170 AD 718 - 786	A Book on Cryptology not found yet, but referenced by az- Zubaidi and ibn Nubata. ibn Nubata considered al-Farahidi as the founder of Cryptology.
Jaber ibn Hayyan	AH 200 AD 815	A book titled "Hall ar-rumuz wa mafatih al kunuz", <i>(Solving Symbols and the keys toTreasures),</i> not found yet, but referenced by Ahmad ibn Wahshiyya.
Ahmad Abu al- Qasim al-Iraqi	Unknown	A Book on "Hall ar-rumuz wa fath aqfal al-kunuz", <i>(Solving Symbols and opening the keys to Treasures)</i> , not found yet, but referenced by the author of "Kashf az-zunun" '

Lyle D. Broemeling (2011) An Account of Early Statistical Inference in Arab Cryptology, The American Statistician, 65:4, 255-257, DOI: 0.1198/tas.2011.10191

Beginning in the seventh and eighth centuries, an early use of statistical inference appeared as a tool to decipher encrypted Arabic messages. Cryptology was pioneered by the Arabs and as one of the methods used to decipher the cryptograms is relative frequency analysis. Following al-Kindi, cryptology was advanced by the Arabs for the next 400 years and the advancement included frequency analysis and other statistical techniques. One of the earliest references to statistical inference is found in the Pascal and Fermat (1654) correspondence. It is interesting, however, to observe that the standard texts on the history of statistics do not mention Arab contributions. For example, Stigler (1986, 1999), David (1962), and Hald (1990, 1998) do not cite Arab works in statistics; in fact, the first reference I found is by al-Kadi (1992). It would be interesting to find if additional contributions to statistics were made by Arab cryptologists.



خلاوها ven

